# 2009 INTERNATIONAL ENERGY CONSERVATION CODE® AND

ANSI/ASHRAE/IESNA STANDARD 90.1-2007 ENERGY STANDARD FOR BUILDINGS EXCEPT LOW-RISE RESIDENTIAL BUILDINGS



## 2009 International Energy Conservation Code and ANSI/ASHRAE/IESNA Standard 90.1-2007 Energy Standard for Buildings Except Low-Rise Residential Buildings

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and

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#### **FOREWORD**

The publication of the 2009 International Energy Conservation Code and ANSI/ASHRAE/IESNA Standard 90.1-2007, Energy Standard for Buildings Except Low-Rise Residential Buildings in one book is a positive step forward in the efforts of the International Code Council® (ICC®) and the American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE) to increase awareness and application of energy-efficient building requirements.

This publication came about as a direct result of the American Recovery and Reinvestment Act of 2009 (ARRA), passed in February 2009. ARRA was designed to stimulate economic recovery by providing stimulus funding to various sectors of the economy and to accomplish a policy goal of creating more energy-efficient buildings.

There is almost universal agreement that increasing the energy efficiency of buildings is a simple and effective way to reduce overall energy use, and, ultimately, reduce carbon emissions. More than 70 percent of all electricity in the United States, and about 40 percent of the total energy worldwide, is consumed by residential and commercial buildings. Consequently, even small increases in building efficiency result in big reductions of energy and carbon emissions.

Both ICC and ASHRAE are proud of the processes they administer to produce the *International Energy Conservation Code* and ASHRAE/IESNA Standard 90.1. They bring together experts, government officials from all levels and industry representatives who manufacture, service and maintain the systems and products that go into energy-efficient buildings. These open and transparent processes produce documents that are respected and usable by all communities.

These two documents are recognized in the ARRA as the benchmarks for the energy efficiency of residential and commercial buildings. They address the same issues and because both may overlap in their coverage of building systems and designs, it makes sense to publish these two documents together —for the benefit of building designers, engineers and building code compliance personnel. In some cases, having both documents in one place will make it easier to choose between different design options. In all cases, this dual edition will make it easier to ensure that newly built and renovated buildings are in compliance with the latest references available, with local requirements and with the goal of ARRA to achieve 90 percent compliance with these target codes in all 50 states by 2017.

Richard P. Weiland Chief Executive Officer International Code Council, Inc.





# INTERNATIONAL ENERGY CONSERVATION CODE®





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#### **PREFACE**

#### Introduction

Internationally, code officials recognize the need for a modern, up-to-date energy conservation code addressing the design of energy-efficient building envelopes and installation of energy efficient mechanical, lighting and power systems through requirements emphasizing performance. The *International Energy Conservation Code*®, in this 2009 edition, is designed to meet these needs through model code regulations that will result in the optimal utilization of fossil fuel and nondepletable resources in all communities, large and small.

This comprehensive energy conservation code establishes minimum regulations for energy efficient buildings using prescriptive and performance-related provisions. It is founded on broad-based principles that make possible the use of new materials and new energy efficient designs. This 2009 edition is fully compatible with all the *International Codes*® (I-Codes®) published by the International Code Council (ICC)®, including: the *International Building Code*®, *International Existing Building Code*®, *International Fire Code*®, *International Fuel Gas Code*®, *International Mechanical Code*®, ICC *Performance Code*®, *International Plumbing Code*®, *International Private Sewage Disposal Code*®, *International Property Maintenance Code*®, *International Residential Code*®, *International Wildland-Urban Interface Code*<sup>TM</sup> and *International Zoning Code*®.

The *International Energy Conservation Code* provisions provide many benefits, among which is the model code development process that offers an international forum for energy professionals to discuss performance and prescriptive code requirements. This forum provides an excellent arena to debate proposed revisions. This model code also encourages international consistency in the application of provisions.

#### **Development**

The first edition of the *International Energy Conservation Code* (1998) was based on the 1995 edition of the *Model Energy Code* promulgated by the Council of American Building Officials (CABO) and included changes approved through the CABO Code Development Procedures through 1997. CABO assigned all rights and responsibilities to the International Code Council and its three statutory members at that time, including Building Officials and Code Administrators International, Inc. (BOCA), International Conference of Building Officials (ICBO) and Southern Building Code Congress International (SBCCI). This 2009 edition presents the code as originally issued, with changes reflected in the 2000, 2003 and 2006 editions and further changes approved through the ICC Code Development Process through 2008. A new edition such as this is promulgated every three years.

This code is founded on principles intended to establish provisions consistent with the scope of an energy conservation code that adequately conserves energy; provisions that do not unnecessarily increase construction costs; provisions that do not restrict the use of new materials, products or methods of construction; and provisions that do not give preferential treatment to particular types or classes of materials, products or methods of construction.

#### Adoption

The *International Energy Conservation Code* is available for adoption and use by jurisdictions internationally. Its use within a governmental jurisdiction is intended to be accomplished through adoption by reference in accordance with proceedings establishing the jurisdiction's laws. At the time of adoption, jurisdictions should insert the appropriate information in provisions requiring specific local information, such as the name of the adopting jurisdiction. These locations are shown in bracketed words in small capital letters in the code and in the sample ordinance. The sample adoption ordinance on page vii addresses several key elements of a code adoption ordinance, including the information required for insertion into the code text.

#### Maintenance

The *International Energy Conservation Code* is kept up to date through the review of proposed changes submitted by code enforcing officials, industry representatives, design professionals and other interested parties. Proposed changes are carefully considered through an open code development process in which all interested and affected parties may participate.

The contents of this work are subject to change both through the Code Development Cycles and the governmental body that enacts the code into law. For more information regarding the code development process, contact the Code and Standard Development Department of the International Code Council.

While the development procedure of the *International Energy Conservation Code* assures the highest degree of care, ICC, its members and those participating in the development of this code do not accept any liability resulting from compliance or noncompliance with the provisions because ICC and its members do not have the power or authority to police or enforce compliance with the contents of this code. Only the governmental body that enacts the code into law has such authority.

#### **Marginal Markings**

Solid vertical lines in the margins within the body of the code indicate a technical change from the requirements of the 2006 edition. Deletion indicators in the form of an arrow ( $\Rightarrow$ ) are provided in the margin where an entire section, paragraph, exception or table has been deleted or an item in a list of items or a table has been deleted.

#### **Italicized Terms**

Selected terms set forth in Chapter 2, Definitions, are italicized where they appear in code text. Such terms are not italicized where the definition set forth in Chapter 2 does not impart the intended meaning in the use of the term. The terms selected have definitions which the user should read carefully to facilitate better understanding of the code.

### **Effective Use of the International Energy Conservation Code**

The *International Energy Conservation Code* (IECC) is a model code that regulates minimum energy conservation requirements for new buildings. The IECC addresses energy conservation requirements for all aspects of energy uses in both commercial and residential construction, including heating and ventilating, lighting, water heating, and power usage for appliances and building systems.

The IECC is a design document. For example, before one constructs a building, the designer must determine the minimum insulation R-values and fenestration U-factors for the building exterior envelope. Depending on whether the building is for residential use or for commercial use, the IECC sets forth minimum requirements for exterior envelope insulation, window and door U-factors and SHGC ratings, duct insulation, lighting and power efficiency, and water distribution insulation.

#### Arrangement and Format of the 2009 IECC

Before applying the requirements of the IECC it is beneficial to understand its arrangement and format. The IECC, like other codes published by ICC, is arranged and organized to follow sequential steps that generally occur during a plan review or inspection. The IECC is divided into five different parts:

Chapters	Subjects	
1–2	Administration and definitions	
3	Climate zones and general materials requirements	
4	Energy efficiency for residential buildings	
5	Energy efficiency for commercial buildings	
6	Referenced standards	

The following is a chapter-by-chapter synopsis of the scope and intent of the provisions of the *International Energy Conservation Code*:

Chapter 1 Administration. This chapter contains provisions for the application, enforcement and administration of subsequent requirements of the code. In addition to establishing the scope of the code, Chapter 1 identifies which buildings and structures come under its purview. Chapter 1 is largely concerned with maintaining "due process of law" in enforcing the energy conservation criteria contained in the body of the code. Only through careful observation of the administrative provisions can the building official reasonably expect to demonstrate that "equal protection under the law" has been provided.

**Chapter 2 Definitions.** All terms that are defined in the code are listed alphabetically in Chapter 2. While a defined term may be used in one chapter or another, the meaning provided in Chapter 2 is applicable throughout the code.

Additional definitions regarding climate zones are found in Tables 301.3(1) and (2). These are not listed in Chapter 2.

Where understanding of a term's definition is especially key to or necessary for understanding of a particular code provision, the term is show in *italics* wherever it appears in the code. This is true only for those terms that have a meaning that is unique to the code. In other words, the generally understood meaning of a term or phrase might not be sufficient or consistent with the meaning prescribed by the code; therefore, it is essential that the code-defined meaning be known.

Guidance regarding tense, gender and plurality of defined terms as well as guidance regarding terms not defined in this code is provided.

**Chapter 3 Climate Zones.** Chapter 3 specifies the climate zones that will serve to establish the exterior design conditions. In addition, Chapter 3 provides interior design conditions that are used as a basis for assumptions in heating and cooling load calculations, and provides basic material requirements for insulation materials and fenestration materials.

Climate has a major impact on the energy use of most buildings. The code establishes many requirements such as wall and roof insulation R-values, window and door thermal transmittance requirement (U-factors) as well as provisions that affect the mechanical systems based upon the climate where the building is located. This chapter will contain the information that will be used to properly assign the building location into the correct climate zone and will then be used as the basis for establishing requirements or elimination of requirements.

**Chapter 4 Residential Energy Efficiency.** Chapter 4 contains the energy-efficiency-related requirements for the design and construction of residential buildings regulated under this code. It should be noted that the definition of a *residential building* in this code is unique for this code. In this code, a *residential building* is an R-2, R-3 or R-4 building three stories or less in height. All other buildings, including residential buildings greater than three stories in height, are regulated by the energy conservation requirements of Chapter 5. The applicable portions of a residential building must comply with the provisions within this chapter for energy effi-

ciency. This chapter defines requirements for the portions of the building and building systems that impact energy use in new residential construction and promotes the effective use of energy. The provisions within the chapter promote energy efficiency in the building envelope, the heating and cooling system and the service water heating system of the building.

Chapter 5 Commercial Energy Efficiency. Chapter 5 contains the energy-efficiency-related requirements for the design and construction of most types of commercial buildings and residential buildings greater than three stories in height above grade. Residential buildings, townhouses and garden apartments three stories or less in height are covered in Chapter 4. Like Chapter 4, this chapter defines requirements for the portions of the building and building systems that impact energy use in new commercial construction and new residential construction greater than three stories in height, and promotes the effective use of energy. The provisions within the chapter promote energy efficiency in the building envelope, the heating and cooling system and the service water heating system of the building.

Chapter 6 Referenced Standards. The code contains numerous references to standards that are used to regulate materials and methods of construction. Chapter 6 contains a comprehensive list of all standards that are referenced in the code. The standards are part of the code to the extent of the reference to the standard. Compliance with the referenced standard is necessary for compliance with this code. By providing specifically adopted standards, the construction and installation requirements necessary for compliance with the code can be readily determined. The basis for code compliance is, therefore, established and available on an equal basis to the code official, contractor, designer and owner.

Chapter 6 is organized in a manner that makes it easy to locate specific standards. It lists all of the referenced standards, alphabetically, by acronym of the promulgating agency of the standard. Each agency's standards are then listed in either alphabetical or numeric order based upon the standard identification. The list also contains the title of the standard; the edition (date) of the standard referenced; any addenda included as part of the ICC adoption; and the section or sections of this code that reference the standard.

#### **ORDINANCE**

The International Codes are designed and promulgated to be adopted by reference by ordinance. Jurisdictions wishing to adopt the 2009 *International Energy Conservation Code* as an enforceable regulation governing energy efficient building envelopes and installation of energy efficient mechanical, lighting and power systems should ensure that certain factual information is included in the adopting ordinance at the time adoption is being considered by the appropriate governmental body. The following sample adoption ordinance addresses several key elements of a code adoption ordinance, including the information required for insertion into the code text.

# SAMPLE ORDINANCE FOR ADOPTION OF THE INTERNATIONAL ENERGY CONSERVATION CODE ORDINANCE NO.\_\_\_\_\_

An ordinance of the **[JURISDICTION]** adopting the 2009 edition of the *International Energy Conservation Code*, regulating and governing energy efficient building envelopes and installation of energy efficient mechanical, lighting and power systems in the **[JURISDICTION]**; providing for the issuance of permits and collection of fees therefor; repealing Ordinance No. \_\_\_\_\_ of the **[JURISDICTION]** and all other ordinances and parts of the ordinances in conflict therewith.

The [GOVERNING BODY] of the [JURISDICTION] does ordain as follows:

Section 1. That a certain document, three (3) copies of which are on file in the office of the [TITLE OF JURISDICTION'S KEEPER OF RECORDS] of [NAME OF JURISDICTION], being marked and designated as the *International Energy Conservation Code*, 2009 edition, as published by the International Code Council, be and is hereby adopted as the Energy Conservation Code of the [JURISDICTION], in the State of [STATE NAME] for regulating and governing energy efficient building envelopes and installation of energy efficient mechanical, lighting and power systems as herein provided; providing for the issuance of permits and collection of fees therefor; and each and all of the regulations, provisions, penalties, conditions and terms of said Energy Conservation Code on file in the office of the [JURISDICTION] are hereby referred to, adopted, and made a part hereof, as if fully set out in this ordinance, with the additions, insertions, deletions and changes, if any, prescribed in Section 2 of this ordinance.

**Section 2.**The following sections are hereby revised:

Section 101.1. Insert: [NAME OF JURISDICTION].

Section 108.4. Insert: [DOLLAR AMOUNT] in two places.

Section 3. That Ordinance No. \_\_\_\_\_ of [JURISDICTION] entitled [FILL IN HERE THE COMPLETE TITLE OF THE ORDINANCE OR ORDINANCES IN EFFECT AT THE PRESENT TIME SO THAT THEY WILL BE REPEALED BY DEFINITE MENTION] and all other ordinances or parts of ordinances in conflict herewith are hereby repealed.

**Section 4**. That if any section, subsection, sentence, clause or phrase of this ordinance is, for any reason, held to be unconstitutional, such decision shall not affect the validity of the remaining portions of this ordinance. The **[GOVERNING BODY]** hereby declares that it would have passed this ordinance, and each section, subsection, clause or phrase thereof, irrespective of the fact that any one or more sections, subsections, sentences, clauses and phrases be declared unconstitutional.

**Section 5**. That nothing in this ordinance or in the Energy Conservation Code hereby adopted shall be construed to affect any suit or proceeding impending in any court, or any rights acquired, or liability incurred, or any cause or causes of action acquired or existing, under any act or ordinance hereby repealed as cited in Section 3 of this ordinance; nor shall any just or legal right or remedy of any character be lost, impaired or affected by this ordinance.

**Section 6.** That the **[JURISDICTION'S KEEPER OF RECORDS]** is hereby ordered and directed to cause this ordinance to be published. (An additional provision may be required to direct the number of times the ordinance is to be published and to specify that it is to be in a newspaper in general circulation. Posting may also be required.)

**Section 7**. That this ordinance and the rules, regulations, provisions, requirements, orders and matters established and adopted hereby shall take effect and be in full force and effect **[TIME PERIOD]** from and after the date of its final passage and adoption.

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